

Please type a plus sign (+) inside this box ->

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number

09/771,263

Filing Date

January 26, 2001

First Named Inventor

THOMPSON, JAMES

Group Art Unit

1642

Examiner Name

YAEN, CHRISTOPHER H.

Attorney Docket Number

IRVN-005CIP

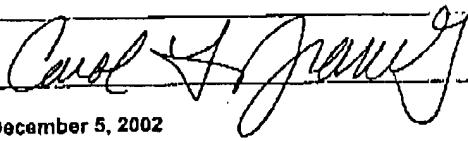
ENCLOSURES (check all that apply)

- | | |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) |
| <input checked="" type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Affidavits/declaration(s) | <input checked="" type="checkbox"/> Request for Corrected Filing Receipt |
| <input checked="" type="checkbox"/> Extension of Time Request | <input checked="" type="checkbox"/> Red Marked copy of the Filing Receipt |
| <input type="checkbox"/> Express Abandonment Request | <input checked="" type="checkbox"/> Supplemental Application Data Sheet |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Supplemental Application Data Sheet |
| <input type="checkbox"/> Certified Copy of Priority Documents | |
| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | |
| <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | |

Remarks

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> | After Allowance Communication to Group |
| <input type="checkbox"/> | Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> | Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> | Proprietary Information |
| <input type="checkbox"/> | Status Letter |
| <input checked="" type="checkbox"/> | Other Enclosure(s) (please identify below):
Return Postcard |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	CAROL L. FRANCIS, Reg. No. 36,513	
Signature	 6/16/02 BOCKETED	
Date	December 5, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: December 5, 2002.

Typed or printed name	Steven I. Goldstein	Date	December 5, 2002
Signature			

Burden / Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FACSIMILE TRANSMITTAL

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650)327-3400
Facsimile Number: (650)327-3231

FORMAL COMMUNICATION

Date: March 11, 2003
To: Examiner Christopher H. Yaen
United States Patent and Trademark Office

Facsimile No. (703) 746-7646
From: Carol L. Francis (Reg. No. 36,513)
Re: U.S. Application Serial No. 09/771,263
Inventors: James Thompson
Our Reference: IRVN-005CIP

Message: Examiner Yaen:

Please see the attached filing involved with the Response to Office Action dated June 5, 2002.

I have also included a copy of the return receipt postcard.
Please let me know if I can be of further assistance.
Carol Francis can be reached at 650-833-7713.

Thank you!

Total number of pages, including this cover sheet: 21

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Atty/Scc: CLF/SFG

Atty Docket No. IRVN-005CIP
Application No.: 09/771,263Date Mailed: December 5, 2002
Filing Date: January 26, 2001

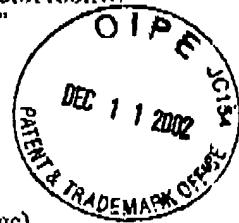
Inventor(s): Gale Arthur Granger

Title: "TREATING TUMORS USING IMPLANTS COMPRISING
COMBINATIONS OF ALLOGENEIC CELLS"

Enclosure(s):

- ❖ Transmittal (1 Page)
- ❖ Fee Transmittal in Dup. (2 Pages)
- ❖ Petition for Extension of Time (1 page)
- ❖ Amendment (11 pages)
- ❖ Request for Corrected Filing Receipt (1 Page)
- ❖ Red Line of Filing Receipt (1 Page)
- ❖ Supplemental Application data Sheet (2 Pages)
- ❖ Return Postcard

Acknowledge Receipt of enclosures
By imprinting PTO Date stamp and
Returning to addressee



Atty/Scc: CLF/SFG

Atty Docket No. IRVN-005CIP

Application No.: 09/771,263

Date Mailed: December 5, 2002

Filing Date: January 26, 2001

Inventor(s): Gale Arthur Granger

Title: "TREATING TUMORS USING IMPLANTS COMPRISING
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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 460

METHOD OF PAYMENT

- The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
 Deposit Account Number 50-0815
 Deposit Account Name Bozicovic, Field & Francis LLP
 Charge Any Additional Fee Required
 Under 37 CFR 1.16 and 1.17
 Applicant Claims small entity status.
 See 37 CFR 1.27

2. Payment Enclosed:

 Check Credit Card Money Order Other

FEE CALCULATION

2. BASIC FILING FEE

Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee
SUBTOTAL (1)				

1. EXTRA CLAIM FEES

Total Claims	24 - 24** = 0	x	=	Fee from below	Fee Paid
Indep. Claims	1-3** = 0	x	=		
Multiple Dependent			=		

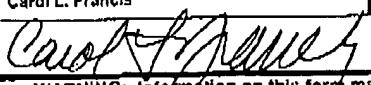
Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	
103	10	203	9	
			Claims in excess of 20	
102	84	202	42	
			Independent claims in excess of 3	
104	280	204	140	
			Multiple dependent claim, if not paid	
105	84	209	42	
			** Reissue Independent claims over original patent	
110	18	210	9	
			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) \$				

**or number previously paid, if greater. For Reissues, see above.

Complete If Known			
Application Number	09/771,263		
Filing Date	January 26, 2001		
First Named Inventor	THOMPSON, JAMES		
Examiner Name	YAEN, CHRISTOPHER H.		
Group Art Unit	1642		
Attorney Docket No.	IRVN-005CIP		

FEE CALCULATION (continued)

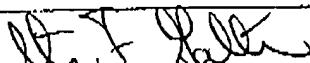
3. ADDITIONAL FEES	Fee Description	Fee Paid			
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	210	200	Extension for reply within second month	
117	920	217	480	Extension for reply within third month	460
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	220	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	210	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
189	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 460	

Complete (if applicable)					
Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	38,513	Telephone	(650) 327-3400
Signature				Date	12/05/2002

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Atty Dkt. No. IRVN-005CIP
USSN: 09/771,263

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Steven F. Goldstein		
Signature		Date	December 5, 2002
REQUEST FOR CORRECTED FILING RECEIPT		Attorney Docket	IRVN-005CIP
		Applicant	Gale Arthur Granger
		Serial Number	09/771,263
		Filing Date	January 26, 2001
		Group Art Unit	1642
		Examiner Name	Christopher Yacn
		Title:	"TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS"
Address to: Office of Initial Patent Examination Commissioner for Patents Washington, D.C. 20231			

Sir:

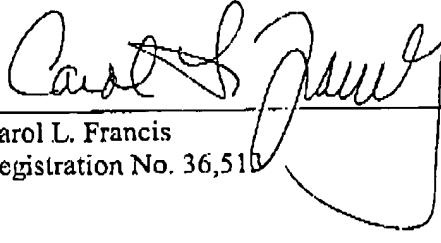
A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the order of the "Applicants" to the following order:
Gale Arthur Granger, Laguna Beach, California;
John C. Hiserodt, Huntington Beach, California; and
James Thompson, Ladera Ranch, California.
- (2) A marked-up filing receipt showing the requested corrections is attached showing correct order of inventors and a Supplemental Application Data Sheet is also included showing the correct order of the inventors.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: Dec 5, 2002

By: 

Carol L. Francis
Registration No. 36,510

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231
F:\DOCUMENT\IRVN (UC Irvine)\005CIP\Correction of Filing Receipt2.doc



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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
109/771,263	01/26/2001	1642	409	IRVN-005CIP	7	24	1

CONFIRMATION NO. 7988

CORRECTED FILING RECEIPT



OC00000008161834

Carol L. Francis
 BOZICEVIC, FIELD & FRANCIS LLP
 200 Middlefield Road, Suite 200
 Menlo Park, CA 94025

Date Mailed: 05/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted theron. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James Thompson, Ladera Ranch, CA; Gale Arthur Granger, Laguna Beach, CA.
 John C. Hiserodt, Huntington Beach, CA; John C. Hiserodt, Huntington Beach, CA.
 Gale Arthur Granger, Laguna Beach, CA; James Thompson, Ladera Ranch, CA.

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/169,561 10/09/1998 PAT 6,203,787 WHICH CLAIMS BENEFIT OF 60/061,766 10/10/1997 AND IS A CIP OF 08/948,939 10/10/1997 PAT 6,207,147 WHICH CLAIMS BENEFIT OF 60/028,548 10/11/1996 AND IS A CIP OF 08/632,753 04/16/1996 ABN WHICH IS A CON OF 08/406,388 03/17/1995 ABN AND IS A CIP OF 09/169,345 10/09/1998 PAT 6,368,593 WHICH CLAIMS BENEFIT OF 60/061,662 10/10/1997

Foreign Applications

If Required, Foreign Filing License Granted 03/19/2001

RECEIVED

MAY 28 2002

Projected Publication Date: Not Applicable

Bozicevic, Field & Francis

Non-Publication Request: No

05/29/02
DOCKETED

Early Publication Request: No